

~~A box set~~

AUSTIN
BAKU
DALLAS
HOUSTON
LONDON
MOSCOW
NEW YORK
WASHINGTON

PATENT

jc821 U.S. PTO
09/991452
11/21/01

1

[X] Amend the specification by inserting, before the first line, the following sentence:

"This is a [X] continuation [] divisional [] continuation-in-part of prior application Serial No. 09/251,124, filed February 16, 1999, which is a divisional application of International Patent Application PCT/US97/14548, filed August 15, 1997, which is a continuation-in-part of U.S. Patent Application No. 08/696,753, filed June 16, 1996, now U.S. Patent No. 5,710,137."

[X] The entire disclosures of the prior applications are considered as being part of the disclosure of the accompanying application and are hereby incorporated by reference therein.

[X] An Assignment of the invention to The Trustees of Columbia University in the City of New York [] is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. [] will follow.

[X] has been filed in prior application Serial No. 09/251,124 and recorded at Reel 009999 Frames 0969-0971 on June 7, 1999.

[X] Small Entity Statement(s)

[] is/are attached.

[X] Small Entity Statement filed in prior application. Status still proper and desired.

[] will follow.

[] Information Disclosure Statement

__ pages

[] PTO Form 1449.

__ pages

[] Copy of newly cited IDS Citation is enclosed.

__ pages

[] Copies of previously considered IDS Citations are not required.

Also accompanying this patent application are:

[] Application Data Sheet

[] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

[] Nucleotide and/or Amino Acid Sequence Submission

[X] Preliminary Amendment

3 pages

[] Non-Publication Request

[X] Return Receipt Postcard

[X] Other: Sequence Listing/Declaration (including 2 copies)

7 pages

[X] The filing fee has been calculated as shown below:

FOR	(Col. 1)			(Col. 2)			Small Entity		OR	Other Than A		
	No. Filed			No. Extra			Rate	Fee		Rate	Small Entity	Fee
Basic Fee								\$370.00				\$0.00
Total Claims	3	-20	=	0	x	9	=	\$0.00	x	18	=	\$0.00
Ind. Claims	3	-3	=	0	x	42	=	\$0.00	x	84	=	\$0.00
Multiple Dependent Claim						+ 140	=	\$0.00	+	280	=	\$0.00
						Total		\$370.00				\$0.00

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment:

☒ Enclosed

<input checked="" type="checkbox"/>	Basic filing fee	<u>\$370.00</u>
<input type="checkbox"/>	Recording Assignment [\$40.00; 37 CFR 1.21(h)]	\$0.00
	Total Fees Enclosed	<u>\$370.00</u>

☒ A check in the amount of \$370.00 to cover filing fee is enclosed.

☐ Please charge our Deposit Account No. 02.4377 in the amount of _____. Two copies of this sheet are enclosed.

Foreign Priority:

☐ Priority is claimed of application Serial No. _____ filed _____.

☐ Submission of Priority Document Certified Copy of priority document(s) of Appln No. _____, filed _____.

☐ has been filed in the parent application S/N _____.

☐ is/are attached.

☐ will follow.

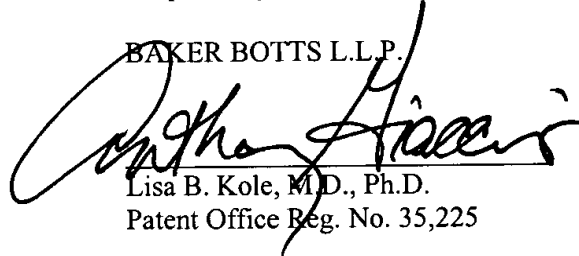
☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR §§ 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

☒ The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

☒ The Commissioner is conditionally authorized to charge payment of any fees associated with this application or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

Respectfully submitted,

BAKER BOTTS L.L.P.



Lisa B. Kole, M.D., Ph.D.
Patent Office Reg. No. 35,225

Anthony Giaccio
Patent Office Reg. No. 39,684

Attorneys for Applicant
(212) 408-2500

Enclosures

0994452-1101